



Schwab One® Account of  
TEXAS ARCHEOLOGICAL SOCIETY  
DONORS' FUND

Account Number  
-2631

**TAX YEAR 2022  
FORM 1099 COMPOSITE  
& YEAR-END SUMMARY**

Date Prepared: February 10, 2023

### Recipient's Name and Address

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02/10-89013-YECF0612 \*1-5  
TEXAS ARCHEOLOGICAL SOCIETY  
DONORS' FUND  
601 UNIVERSITY DRIVE  
DEPT OF ANTHROPOLOGY  
SAN MARCOS TX 78666

### Your Independent Investment Manager and/or Advisor

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CLEAR ROCK ADVISORS LLC  
200 EARL GARRETT ST STE 202  
KERRVILLE TX 78028  
(830) 315-2555

*The custodian of your brokerage account is Charles Schwab & Co., Inc.*

### Items for Attention

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- Schwab provides your Form 1099 tax information as early and as accurately as possible. However, some issuers may provide new information about their securities after the IRS Form 1099 mailing deadline. If Schwab receives updated information from issuers of securities you hold, we are required by the IRS to send you a CORRECTED Form 1099 with changes clearly highlighted. Please plan your tax preparation accordingly.
- Please refer to the enclosed insert(s) for additional information.

### Important Official IRS Form(s) 1099 Enclosed

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The report in this package contains your income tax return documents and year-end summary. Please retain this package for tax preparation purposes.

For tax advice, please consult with a qualified tax advisor, CPA, financial planner, or investment manager. Except as noted in the Terms and Conditions, your Investment Advisors are not affiliated with, or supervised by Schwab.

#### To contact Schwab:

If you have any questions or need additional information about your Form(s) 1099 or your year-end summary, please call your advisor directly, or call Schwab Alliance™ at 1-800-515-2157.

#### To contact the IRS:

Tax questions for individuals: 1-800-829-1040  
Tax questions for businesses: 1-800-829-4933  
To order tax forms or publications: 1-800-829-3676  
To pay taxes by credit card: 1-888-272-9829  
For additional information and to print forms and publications, visit [www.irs.gov](http://www.irs.gov).



Schwab One® Account of  
**TEXAS ARCHEOLOGICAL SOCIETY  
 DONORS' FUND**

Account Number  
 [REDACTED]-2631

**TAX YEAR 2022  
 FORM 1099 COMPOSITE  
 & YEAR-END SUMMARY**

Date Prepared: February 10, 2023

Your Form 1099 Composite may include the following Internal Revenue Service (IRS) forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-B and 1099-OID. You'll only receive the form(s) that apply to your particular financial situation and please keep for your records. Please note that information in the Year-End Summary is not provided to the IRS. It is provided to you as additional tax reporting information you may need to complete your tax return.

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Schwab One® Account of  
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Account Number  
 [REDACTED]-2631

**TAX YEAR 2022  
 FORM 1099 COMPOSITE**

Date Prepared: February 10, 2023

**Recipient's Name and Address**

TEXAS ARCHEOLOGICAL SOCIETY  
 DONORS' FUND  
 601 UNIVERSITY DRIVE  
 DEPT OF ANTHROPOLOGY  
 SAN MARCOS TX 78666

Taxpayer ID Number: \*\*-\*\*\*3231

Account Number: [REDACTED]-2631

**Payer's Name and Address**

CHARLES SCHWAB & CO., INC.  
 3000 SCHWAB WAY  
 WESTLAKE, TX 76262

Telephone Number: (800) 515-2157

Federal ID Number: 94-1737782

**Proceeds from Broker Transactions — 2022**

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

**SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS** - Report on Form 8949, Part I, with **Box A** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	**	1b-Date acquired	1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	4-Federal Income tax withheld
287 ISHARES MBS ETF 464288588 / MBB	S	05/12/22	11/16/22	\$ 26,678.91	\$ 28,315.39	-- \$	(1,636.48) \$	0.00
<b>Security Subtotal</b>				<b>\$ 26,678.91</b>	<b>\$ 28,315.39</b>	<b>-- \$</b>	<b>(1,636.48) \$</b>	<b>0.00</b>
573 SCHWAB SHORT TERM US TREASUR 808524862 / SCHO	S	05/17/22	11/21/22	\$ 27,600.78	\$ 28,274.80	-- \$	(674.02) \$	0.00
<b>Security Subtotal</b>				<b>\$ 27,600.78</b>	<b>\$ 28,274.80</b>	<b>-- \$</b>	<b>(674.02) \$</b>	<b>0.00</b>

FATCA Filing Requirement

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



## INSTRUCTIONS FOR RECIPIENTS OF FORM 1099 1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue code. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to

reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund(QOF).

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Boxes 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.



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 DONORS' FUND**

Account Number  
 -2631

**TAX YEAR 2022  
 FORM 1099 COMPOSITE**

Taxpayer ID Number: \*\*-\*\*\*3231

Date Prepared: February 10, 2023

**Proceeds from Broker Transactions — 2022 (continued)**

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

**SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS** - Report on Form 8949, Part I, with **Box A** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	1b-Date acquired	1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	4-Federal Income tax withheld
184 VANGUARD SHORT TERM COR BD ETF S 92206C409 / VCSH	05/16/22	11/28/22	\$ 13,834.64	\$ 14,171.68	-- \$	(337.04) \$	0.00
<b>Security Subtotal</b>			<b>\$ 13,834.64</b>	<b>\$ 14,171.68</b>	<b>-- \$</b>	<b>(337.04) \$</b>	<b>0.00</b>
<b>Total Short-Term (Cost basis is reported to the IRS)</b>			<b>\$ 68,114.33</b>	<b>\$ 70,761.87</b>	<b>-- \$</b>	<b>(2,647.54) \$</b>	<b>0.00</b>
<b>Total Short-Term Sales Price of Stocks, Bonds, etc.</b>			<b>\$ 68,114.33</b>	<b>\$ 70,761.87</b>	<b>-- \$</b>	<b>(2,647.54) \$</b>	<b>0.00</b>

FATCA Filing Requirement

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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**TAX YEAR 2022  
 FORM 1099 COMPOSITE**

Taxpayer ID Number: \*\*-\*\*\*3231

Date Prepared: February 10, 2023

**Proceeds from Broker Transactions — 2022 (continued)**

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

**LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS** - Report on Form 8949, Part II, with **Box D** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	**	1b-Date acquired	1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	4-Federal Income tax withheld
811 BLACKROCK EVENT DRIVEN EQUITY I 09250J734 / BILPX	S	VARIOUS	02/23/22	\$ 7,989.46	\$ 7,940.00	-- \$	49.46 \$	0.00
<b>Security Subtotal</b>				<b>\$ 7,989.46</b>	<b>\$ 7,940.00</b>	-- \$	<b>49.46 \$</b>	<b>0.00</b>
6,104 DOUBLELINE TOTAL RETURN BOND I 258620103 / DBLTX	S	VARIOUS	05/12/22	\$ 58,090.92	\$ 65,117.94	-- \$	(7,027.02) \$	0.00
<b>Security Subtotal</b>				<b>\$ 58,090.92</b>	<b>\$ 65,117.94</b>	-- \$	<b>(7,027.02) \$</b>	<b>0.00</b>
5 FIRST TRUST NASDAQ SMARTID ETF 33737K205 / NXTG	S	01/29/21	02/23/22	\$ 376.54	\$ 361.24	-- \$	15.30 \$	0.00
<b>Security Subtotal</b>				<b>\$ 376.54</b>	<b>\$ 361.24</b>	-- \$	<b>15.30 \$</b>	<b>0.00</b>
2 FIRST TRUST NYSE ARCA BIOTECH ETF 33733E203 / FBT	S	01/29/21	02/23/22	\$ 286.68	\$ 357.28	-- \$	(70.60) \$	0.00
<b>Security Subtotal</b>				<b>\$ 286.68</b>	<b>\$ 357.28</b>	-- \$	<b>(70.60) \$</b>	<b>0.00</b>
501 IMGP ALT STRATS INSTL 53700T801 / MASF	S	10/21/20	03/29/22	\$ 5,714.77	\$ 5,820.00	-- \$	(105.23) \$	0.00
<b>Security Subtotal</b>				<b>\$ 5,714.77</b>	<b>\$ 5,820.00</b>	-- \$	<b>(105.23) \$</b>	<b>0.00</b>

FATCA Filing Requirement

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Schwab One® Account of  
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**TAX YEAR 2022  
 FORM 1099 COMPOSITE**

Taxpayer ID Number: \*\*-\*\*\*3231

Date Prepared: February 10, 2023

**Proceeds from Broker Transactions — 2022 (continued)**

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

**LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS** - Report on Form 8949, Part II, with **Box D** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	**	1b-Date acquired	1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	4-Federal Income tax withheld
197 ISHARES HIGH YIELD CORPORAT B 46434V407 / SHYG	S	VARIOUS	05/04/22	\$ 8,417.77	\$ 8,693.88	-- \$	(276.11) \$	0.00
<b>Security Subtotal</b>				<b>\$ 8,417.77</b>	<b>\$ 8,693.88</b>	<b>-- \$</b>	<b>(276.11) \$</b>	<b>0.00</b>
411 LAZARD EMERGING MARKETS EQ ADV 52107V450 / LEAIX	S	VARIOUS	10/10/22	\$ 3,831.65	\$ 5,260.00	-- \$	(1,428.35) \$	0.00
<b>Security Subtotal</b>				<b>\$ 3,831.65</b>	<b>\$ 5,260.00</b>	<b>-- \$</b>	<b>(1,428.35) \$</b>	<b>0.00</b>
10 SPDR DOUBLELINE TTL RTRNTTCL ETF 78467V848 / TOTL	S	09/28/18	05/12/22	\$ 432.08	\$ 477.43	-- \$	(45.35) \$	0.00
<b>Security Subtotal</b>				<b>\$ 432.08</b>	<b>\$ 477.43</b>	<b>-- \$</b>	<b>(45.35) \$</b>	<b>0.00</b>
62 VANGUARD INTL DIVDEND APRECTN E 921946810 / VIGI	S	10/21/20	03/03/22	\$ 4,796.30	\$ 4,582.18	-- \$	214.12 \$	0.00

FATCA Filing Requirement

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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**TAX YEAR 2022  
 FORM 1099 COMPOSITE**

Taxpayer ID Number: \*\*-\*\*\*3231

Date Prepared: February 10, 2023

**Proceeds from Broker Transactions — 2022 (continued)**

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

**LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS** - Report on Form 8949, Part II, with **Box D** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	**	1b-Date acquired  1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount  1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	4-Federal Income tax withheld
49 VANGUARD INTL DIVDEND APRECTN E S 921946810 / VIGI		VARIOUS 06/15/22	\$ 3,382.88	\$ 3,115.31	-- \$	267.57 \$	0.00
<b>Security Subtotal</b>			<b>\$ 8,179.18</b>	<b>\$ 7,697.49</b>	<b>-- \$</b>	<b>481.69 \$</b>	<b>0.00</b>
<b>Total Long-Term (Cost basis is reported to the IRS)</b>			<b>\$ 93,319.05</b>	<b>\$ 101,725.26</b>	<b>-- \$</b>	<b>(8,406.21) \$</b>	<b>0.00</b>
<b>Total Long-Term Sales Price of Stocks, Bonds, etc.</b>			<b>\$ 93,319.05</b>	<b>\$ 101,725.26</b>	<b>-- \$</b>	<b>(8,406.21) \$</b>	<b>0.00</b>
<b>Total Sales Price of Stocks, Bonds, etc.</b>			<b>\$ 161,433.38</b>				
<b>Total Federal Income Tax Withheld</b>			<b>\$ 0.00</b>				

FATCA Filing Requirement

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Date Prepared: February 10, 2023

**Notes for Your Form 1099-B**

**Box 1b-Date acquired:** If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain or (Loss) section of the Year-End Summary.

- (1) If **Box 1b-Date acquired** displays as VARIOUS, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction.
- (2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

**Box 1d-Proceeds/Box 6-Reported to IRS: Gross proceeds (except where indicated):**

- (1) Gross proceeds from each of your security transactions are reported individually to the IRS.
- (2) Gross proceeds in aggregate are not reported to the IRS and should not be reported on your tax return.
- (3) Net proceeds will only be displayed if the proceeds on a trade have been adjusted for an option premium.

**Box 1e-Cost or other basis:** Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows:

- Not Provided** Schwab is not providing Cost Basis on this security type.
- Missing**
  - (1) Cost Basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
  - (2) The security was purchased more than 10 years ago.

**Realized Gain or (Loss):** Realized Gain or (Loss) is not reported to the IRS.

**Box 2-Short-term gain or loss, Long-term gain or loss, or Ordinary:** Only if you have both long-term and short-term transactions will you receive both long-term and short-term sections of the 1099-B:

**Long-term gain or loss** has a holding period greater than one year.

**Short-term gain or loss** has a holding period of one year or less.

**Other Cost Basis Notes:**

- (1) The cost basis and basis adjustments for covered securities are reported to the IRS.
- (2) If "BASIS IS REPORTED TO THE IRS" appears in the section heading, this indicates **Box 12-If checked, basis reported to IRS** is checked. If "BASIS IS AVAILABLE BUT NOT REPORTED" or "BASIS IS MISSING AND NOT REPORTED" appears, this indicates **Box 5-If checked, noncovered security** is checked. These boxes are not displayed on the 1099-B but the boxes are reported to the IRS and included in the download for TurboTax and H&R Block.
- (3) For non-covered securities appearing in sections of the 1099-B which include the headings "BASIS IS AVAILABLE BUT NOT REPORTED TO THE IRS" or "BASIS IS MISSING AND NOT REPORTED TO THE IRS", **Box 1b-Date acquired**, **1e-Cost or other basis**, **1f-Accrued Market Discount** and **1g-Wash Sale Loss Disallowed** are not reported to the IRS.

**\*\*Activity Codes (Not reported to the IRS)**

<b>C</b> = Cash in Lieu	<b>E</b> = Exchange	<b>P</b> = Principal	<b>S</b> = Sale	<b>T</b> = Tender	<b>BC</b> = Buy to Close	<b>X</b> = Expiration
<b>CV</b> = Conversion	<b>M</b> = Cash Merger	<b>MT</b> = Maturity	<b>R</b> = Redemption	<b>SS</b> = Short Sale	<b>SC</b> = Sell to Close	

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



**YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.**

Date Prepared: February 10, 2023

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

**REALIZED GAIN OR (LOSS)**

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

**Short-Term Realized Gain or (Loss)**

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part I, with Box A checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
ISHARES MBS ETF	464288588	287.00	05/12/22	11/16/22	\$ 26,678.91	\$ 28,315.39	-- \$	(1,636.48)
<b>Security Subtotal</b>					<b>\$ 26,678.91</b>	<b>\$ 28,315.39</b>	<b>-- \$</b>	<b>(1,636.48)</b>
Schwab Short Term US Treasury ETF	808524862	573.00	05/17/22	11/21/22	\$ 27,600.78	\$ 28,274.80	-- \$	(674.02)
<b>Security Subtotal</b>					<b>\$ 27,600.78</b>	<b>\$ 28,274.80</b>	<b>-- \$</b>	<b>(674.02)</b>
Vanguard Short Term Cor Bd ETF IV	92206C409	184.00	05/16/22	11/28/22	\$ 13,834.64	\$ 14,171.68	-- \$	(337.04)
<b>Security Subtotal</b>					<b>\$ 13,834.64</b>	<b>\$ 14,171.68</b>	<b>-- \$</b>	<b>(337.04)</b>
<b>Total Short-Term</b> (Cost basis is reported to the IRS)					<b>\$ 68,114.33</b>	<b>\$ 70,761.87</b>	<b>-- \$</b>	<b>(2,647.54)</b>
<b>Total Short-Term</b>					<b>\$ 68,114.33</b>	<b>\$ 70,761.87</b>	<b>-- \$</b>	<b>(2,647.54)</b>



Schwab One® Account of  
**TEXAS ARCHEOLOGICAL SOCIETY  
 DONORS' FUND**

Account Number  
 -2631

**TAX YEAR 2022  
 YEAR-END SUMMARY**

**YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.**

Date Prepared: February 10, 2023

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

**Long-Term Realized Gain or (Loss)**

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
BLACKROCK EVENT DRIVEN EQUITY INSTL	09250J734	204.49	07/18/19	02/23/22	\$ 2,013.37	\$ 2,000.90	-- \$	12.47
BLACKROCK EVENT DRIVEN EQUITY INSTL	09250J734	606.99	10/21/20	02/23/22	\$ 5,976.09	\$ 5,939.10	-- \$	36.99
<b>Security Subtotal</b>					<b>\$ 7,989.46</b>	<b>\$ 7,940.00</b>	<b>-- \$</b>	<b>49.46</b>
DOUBLELINE TOTAL RETURN BOND I	258620103	1,202.12	03/07/18	05/12/22	\$ 11,440.32	\$ 12,817.09	-- \$	(1,376.77)
DOUBLELINE TOTAL RETURN BOND I	258620103	4,565.82	10/21/20	05/12/22	\$ 43,451.71	\$ 48,680.85	-- \$	(5,229.14)
DOUBLELINE TOTAL RETURN BOND I	258620103	336.13	01/29/21	05/12/22	\$ 3,198.89	\$ 3,620.00	-- \$	(421.11)
<b>Security Subtotal</b>					<b>\$ 58,090.92</b>	<b>\$ 65,117.94</b>	<b>-- \$</b>	<b>(7,027.02)</b>
FIRST TRUST NASDAQ SMARTID ETF	33737K205	5.00	01/29/21	02/23/22	\$ 376.54	\$ 361.24	-- \$	15.30
<b>Security Subtotal</b>					<b>\$ 376.54</b>	<b>\$ 361.24</b>	<b>-- \$</b>	<b>15.30</b>
FIRST TRUST NYSE ARCA BIOTECH ETF IV	33733E203	2.00	01/29/21	02/23/22	\$ 286.68	\$ 357.28	-- \$	(70.60)
<b>Security Subtotal</b>					<b>\$ 286.68</b>	<b>\$ 357.28</b>	<b>-- \$</b>	<b>(70.60)</b>
IMGP ALT STRATS INSTL	53700T801	501.73	10/21/20	03/29/22	\$ 5,714.77	\$ 5,820.00	-- \$	(105.23)
<b>Security Subtotal</b>					<b>\$ 5,714.77</b>	<b>\$ 5,820.00</b>	<b>-- \$</b>	<b>(105.23)</b>
ISHARES HIGH YIELD CORPORAT BOND ETF	46434V407	39.00	04/09/20	05/04/22	\$ 1,666.46	\$ 1,694.64	-- \$	(28.18)



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**TAX YEAR 2022  
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**Long-Term Realized Gain or (Loss) (continued)**

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
ISHARES HIGH YIELD BOND ETF	CORPORAT 46434V407	158.00	10/21/20	05/04/22	\$ 6,751.31	\$ 6,999.24	-- \$	(247.93)
<b>Security Subtotal</b>					<b>\$ 8,417.77</b>	<b>\$ 8,693.88</b>	<b>-- \$</b>	<b>(276.11)</b>
LAZARD EMERGING MARKETS EQ ADVTG INSTL	52107V450	72.86	02/19/19	10/10/22	\$ 679.15	\$ 890.03	-- \$	(210.88)
LAZARD EMERGING MARKETS EQ ADVTG INSTL	52107V450	233.30	10/21/20	10/10/22	\$ 2,174.74	\$ 2,849.97	-- \$	(675.23)
LAZARD EMERGING MARKETS EQ ADVTG INSTL	52107V450	104.89	01/29/21	10/10/22	\$ 977.76	\$ 1,520.00	-- \$	(542.24)
<b>Security Subtotal</b>					<b>\$ 3,831.65</b>	<b>\$ 5,260.00</b>	<b>-- \$</b>	<b>(1,428.35)</b>
SPDR DOUBLELINE TTL RTRNTTCL ETF	78467V848	10.00	09/28/18	05/12/22	\$ 432.08	\$ 477.43	-- \$	(45.35)
<b>Security Subtotal</b>					<b>\$ 432.08</b>	<b>\$ 477.43</b>	<b>-- \$</b>	<b>(45.35)</b>
VANGUARD INTL DIVDEND APRECTN ETF IV	921946810	62.00	10/21/20	03/03/22	\$ 4,796.30	\$ 4,582.18	-- \$	214.12
VANGUARD INTL DIVDEND APRECTN ETF IV	921946810	33.00	04/06/20	06/15/22	\$ 2,278.27	\$ 1,932.81	-- \$	345.46
VANGUARD INTL DIVDEND APRECTN ETF IV	921946810	16.00	10/21/20	06/15/22	\$ 1,104.61	\$ 1,182.50	-- \$	(77.89)
<b>Security Subtotal</b>					<b>\$ 8,179.18</b>	<b>\$ 7,697.49</b>	<b>-- \$</b>	<b>481.69</b>
<b>Total Long-Term (Cost basis is reported to the IRS)</b>					<b>\$ 93,319.05</b>	<b>\$ 101,725.26</b>	<b>-- \$</b>	<b>(8,406.21)</b>
<b>Total Long-Term</b>					<b>\$ 93,319.05</b>	<b>\$ 101,725.26</b>	<b>-- \$</b>	<b>(8,406.21)</b>



Schwab One® Account of  
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**TAX YEAR 2022  
 YEAR-END SUMMARY**

**YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.**

Date Prepared: February 10, 2023

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**Realized Gain or (Loss) Summary**

**Total Realized Gain or (Loss)**

Description	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
<b>Total Short-Term Realized Gain or (Loss)</b> <i>(Cost basis is reported to the IRS. Report on Form 8949, Part I, with Box A checked.)</i>	\$ 68,114.33	\$ 70,761.87	-- \$	(2,647.54)
<b>Total Short-Term Realized Gain or (Loss)</b>	\$ 68,114.33	\$ 70,761.87	-- \$	(2,647.54)
<b>Total Long-Term Realized Gain or (Loss)</b> <i>(Cost basis is reported to the IRS. Report on Form 8949, Part II, with Box D checked.)</i>	\$ 93,319.05	\$ 101,725.26	-- \$	(8,406.21)
<b>Total Long-Term Realized Gain or (Loss)</b>	\$ 93,319.05	\$ 101,725.26	-- \$	(8,406.21)
<b>TOTAL REALIZED GAIN OR (LOSS)</b>	\$ 161,433.38	\$ 172,487.13	-- \$	(11,053.75)



**YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.**

Date Prepared: February 10, 2023

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**Notes for Your Realized Gain or (Loss)**

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Schwab has provided realized gain and loss information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

<b>Not Provided</b>	Schwab is not providing Cost Basis on this security type.
<b>Missing</b>	Cost Basis may be missing due to one of the following reasons: <ul style="list-style-type: none"><li>• Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).</li><li>• The security was purchased more than 10 years ago.</li></ul>
<b>Date Acquired</b>	If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.
<b>Total Proceeds</b>	The amount displayed in this column has been adjusted for option premiums, if applicable.
<b>Cost Basis</b>	The amount displayed in this column may differ from your original cost basis as adjustments may have been made to reflect applicable option premiums, corporate actions and/or wash sales.
<b>Wash Sale Loss Disallowed</b>	The disallowed amount displayed in this column has been added to the cost basis of other share lots with the same CUSIP owned in the same account at the time the loss was disallowed.
<b>Realized Gain or (Loss)</b>	The calculation for gain or (loss) displayed in this column is Total Proceeds - Cost Basis + Wash Sale Loss Disallowed - Market Discount = Realized Gain or (Loss)

For sales of short-term debt issued after January 1, 2014, talk to your tax advisor as to how to report the income.



Date Prepared: February 10, 2023

## COST BASIS DISCLOSURE

### Changes to Cost Basis Reporting Requirements

Starting with the 2011 tax year, Charles Schwab & Co. began reporting adjusted cost basis to you and the IRS on your Form 1099-B for covered securities in your taxable brokerage accounts that you sell and are covered by the Emergency Stabilization Act regulations.

In the event of transfers of securities, we are also required to report adjusted cost basis information to other custodians for covered securities transferred to them.

Schwab will treat securities as covered when they are acquired on or after the following effective dates:

- January 1, 2011 for stocks and other equities
- January 1, 2012 for mutual funds, ETFs, and Dividend Reinvestment Plan ("DRIP") shares
- January 1, 2014 for less complex fixed income and options, rights and warrants
- January 1, 2016 for more complex fixed income

Because these new reporting requirements could impact the cost basis used to compute your taxable gains and losses, it's important to understand when and how they will apply to you. The information in this document is intended as informational only and is not individualized tax advice. Schwab does not provide tax advice and encourages you to consult with your tax professional to understand how the new reporting requirements will affect you and your tax situation.

### What Does This Mean for You

1. When you sell covered securities, as described above, Schwab will be required to report details about your cost basis to you and the IRS on Form 1099-B. You will continue to be responsible for reporting all cost basis information for both covered and uncovered securities to the IRS on your tax returns.
2. Unless you've indicated otherwise, Schwab will calculate your gains and losses using the IRS default cost basis method of Average Cost for mutual funds and FIFO (First In, First Out) for all other securities. It is your responsibility to choose the cost basis method appropriate to your tax situation. See "Cost Basis Methods" for the methods offered by Schwab.
3. When you sell a security, the cost basis method used to calculate your gain or loss cannot be changed after your trade settles. So it's important to consider the tax implications at the time of trade.

### What is Cost Basis?

Cost basis is the original purchase price you paid for a security plus commissions and any fees. Adjusted cost basis includes any other adjustments to the price. Adjusted cost basis also includes any adjustments due to wash sales, amortization, accretion and corporate actions.

Your realized gain/loss on a security is determined by subtracting the adjusted cost basis from the sale proceeds in an account less commissions and fees.

For covered securities that were not both purchased and sold at Schwab, Schwab calculates cost basis using data that you have provided to us or that we have obtained from third-party sources

such as your prior custodian or another third-party source. Because the accuracy of cost basis data depends upon these third-party inputs, we are not able to guarantee the availability, accuracy, or completeness of such cost basis data on transactions that did not occur at Schwab. If we are not provided with adequate information to report cost basis data for covered securities that were not both purchased and sold at Schwab, those securities will be considered uncovered and the cost basis will not be reported to the IRS even if acquired after the effective date.

### Cost Basis Methods

It is your responsibility to choose the cost basis method appropriate for your tax situation. Failure to select the proper cost basis method may cause you to pay more tax than necessary. Schwab does not provide tax advice and encourages you to consult with your tax professional regarding this decision.

To view and change your default cost basis method on your brokerage account, go to the Service tab on schwab.com, then the Cost Basis Method page in Account Settings. You can also make a change by calling 800-435-4000. If your account is managed by an investment advisor, please call your advisor to make a change.

### Default Cost Basis Methods

We apply the IRS default method of Average Cost for your mutual funds and the default method of FIFO (First In, First Out) to all other securities unless you inform us of a preferred method.

FIFO	Shares you acquired first are sold first
Average Cost	Cost is derived by dividing the total dollar amount invested in a particular fund position by the number of shares held prior to the trade date. Shares are removed in FIFO order.

### Cost Basis Methods Offered by Schwab:

You may select a different cost basis method for your brokerage account other than the default methods described above. The following cost basis methods are offered by Schwab:

<b>FIFO (First In, First Out)</b>	Shares you acquired first are sold first
<b>LIFO (Last In, First Out)</b>	Shares you acquired last are sold first
<b>HCOST (High Cost)</b>	Shares with the highest cost are sold first
<b>LCOST (Low Cost)</b>	Shares with lowest cost are sold first
<b>Average Cost (mutual funds only)</b>	Cost is derived by dividing the total dollar amount invested in a particular fund position by the number of shares held prior to the trade date. Shares are removed in FIFO order.
<b>Specific Identification</b>	The IRS allows taxpayers to identify specific lots to be sold. Such identification must be made with the broker at the time of trade and no later than close of business on the day the trade settles.



Date Prepared: February 10, 2023

**COST BASIS DISCLOSURE (continued)**

**Tax Lot Optimizer™** Lots are selected and sold with the objective of taking losses first (short-term then long-term) and gains last (long-term then short-term). Lots are sold in this order:

- Short Term Losses      Lots that reflect a short term loss are sold first, beginning with lots that generate the greatest short term loss down to the least short term loss
- Long Term Losses      Lots that reflect a long term loss are sold, beginning with lots that generate the greatest long term loss down to the least long term loss
- Short Term No Gains or Losses      Short term lots that reflect no gain or loss
- Long Term No Gains or Losses      Long term lots that reflect no gain or loss
- Long Term Gains      Lots that reflect a long term gain, beginning with lots that generate the least long term gain up to the greatest long term gain
- Short Term Gains      Lots that reflect a short term gain, beginning with lots that generate the least short term gain up to the greatest short term gain

The cost basis method used to determine the gain/loss of a trade cannot be changed after the trade settles. If Schwab does not receive a specific instruction by the end of settlement date, we will use the current cost basis method you have selected for your account or the default cost basis method if you have made no alternate selection to calculate the gain/loss that is reported to you and the IRS at the end of year.

The Internal Revenue Service does not recognize LIFO, HCost, LCost and Tax Lot Optimizer as accounting methods, but it does recognize standing instructions to sell lots as adequate identification for reporting gains/losses.

**Cost Basis Adjustments**

Cost basis is generally based on your purchase price plus commissions and any fees; however the original cost basis may be subject to adjustments that increase or decrease your basis.

Schwab will adjust the cost basis of securities in your brokerage account in the following circumstances.

**Wash Sales:** If you sell shares at a loss and buy additional shares in the same security 30 days before or after that date (61-day range), you may not claim the loss on your tax return until you sell the new shares. If a wash sale occurs, the loss is disallowed for federal income tax purposes but may be added to the cost basis of the purchased shares. Schwab will adjust the cost basis and holding period of shares when a wash sale occurs within an account as a result of a purchase of an identical security with the same CUSIP. Schwab will not take into account the impact of options purchases or sales in determining disallowed losses on sales of the underlying securities. It's important to note that the wash reporting requirements for you as a taxpayer are different and broader than the reporting requirements for Schwab. For more details on your wash sale reporting requirements, please see IRS publication 550 or speak with your tax professional.

**Corporate Actions:** In some instances corporate actions can affect cost basis of your securities. Regulations require issuers to provide statements describing the effects of a corporate action on the cost basis of a security. Schwab will adjust cost basis for corporate actions based on the information provided in the issuer statement.

**Return of Capital Payments:** Cost basis will be reduced for any return of capital (principal) distributions.

**Option Adjustments:** Schwab will adjust the cost basis or realized gain/loss (proceeds) of the underlying security for option assignments and exercises (by factoring the premium paid or received).

**Inheritance:** Schwab will adjust the cost basis of securities that have been inherited based on the fair market value on the date of death unless alternate instructions are received from an authorized representative of the estate.

**Gifts:** Shares will be gifted based on your default cost basis method unless otherwise specified with a given purchase date and cost prior to the gift transfer. Schwab will track the fair market value and gift date in addition to the donor's adjusted cost basis and will apply IRS gift rules at the time of sale to determine gain or loss.

You will still be required to track and make adjustments to your securities as it is possible that you could have other situations that require cost basis adjustments that Schwab is not required to report.

**Restricted Stock Awards:** If you filed an election pursuant to IRC Section 83(b) on a Restricted Stock Award issued by your employer, a different cost basis may apply to shares vested from that award than the cost basis reported on this statement. Please consult with your personal tax advisor to understand the tax implications.

**Acquisition date:** If cost basis is missing or not provided, a short-term holding period may have applied for position that may have been held long term.

Please Note: This information is not intended to be a substitute for specific individualized tax, legal or investment planning advice. Where specific advice is necessary or appropriate, Schwab recommends consulting with a qualified tax advisor, CPA, financial planner or investment manager. Except as noted in the Terms and Conditions, your Investment Advisors are not affiliated with, or supervised by Schwab.

Foreign Investors should note that the information provided is from a U.S. tax perspective. The information provided may or may not have relevance in other jurisdictions.

**Contact Us**

If you have any questions or need more information about the cost basis methods or terminology in this Disclosure Statement, please call us at 1-800-435-4000. If your account is managed by an investment advisor, please call Schwab Alliance at 1-800-515-2157.





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**TAX YEAR 2022  
FORM 1099 COMPOSITE  
& YEAR-END SUMMARY**

Date Prepared: February 10, 2023

## TERMS AND CONDITIONS

### GENERAL INFORMATION

#### Form 1099-Composite

For U.S. taxpayers, the information reported to you on Form 1099 is given to the Internal Revenue Service (IRS). Form 1099-Composite is comprised of the following substitute forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-OID, and 1099-B. The form(s) you receive in Form 1099 Composite depends on the income reportable to the IRS.

#### Year-End Summary

The information in the Year-End Summary is provided to you as a courtesy, should you need additional information when completing your tax return. When relevant, IRS box numbers are referenced to indicate individual items that make up the totals appearing on your Form(s) 1099. With the exception of the totals reported in the IRS box numbers referenced, Charles Schwab does not provide the details of the Year-End Summary to the IRS.

We recommend that all customers consult their investment and tax advisors prior to using this information.

#### Corrected Form 1099 and Year-End Summary

We are required to send you a corrected form with the revisions clearly marked when we receive updates or revisions to information contained in the form. This generally occurs if one or more of the issuers of the securities in your account reallocated certain income distribution (e.g., dividends or capital gains) after we mailed your original Form 1099. As a result, the supplemental information in Year-End Summary may be updated.

Upon receiving a corrected form, you may want to consider filing an amended return based on the changes in your taxable income as reported on your corrected Form 1099. We suggest that you consult with a qualified tax advisor, CPA, financial planner, or investment manager before you proceed.

#### Duplicate Form 1099 and Year-End Summary

If you request a duplicate Form 1099, please be aware that Schwab uses the most up-to-date information available at the time of the production. Thus, your duplicate Form 1099 and Year-End Summary may have more up-to-date information than the original Form 1099.

#### Realized Gain or (Loss) in Year-End Summary

The Realized Gain or (Loss) section provides information for all your realized gain or (loss) transactions during the tax year. It contains all transactions included in the Form 1099-B as well as transactions that are not reported on Form 1099-B (for example; sales and expirations of noncovered option activities, cash in lieu under \$20, bankruptcy, and worthless securities). This supplemental information is believed to be accurate as of the date the data was compiled but they may not be updated for any corrections after the data was initially compiled.

**IN CASE OF QUESTIONS:** If you have questions about this report or about specific Schwab accounts or Schwab One transactions (other than wire transfers or check transactions), contact Schwab at 1-800-435-4000. If you have a complaint regarding your Schwab statement or our products and services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105. Outside of the U.S., call +1-415-667-5009.

#### For Clients of Investment Advisors

The Year-End Summary in this report is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your account at Schwab ("Account"). Schwab Advisor Services<sup>TM</sup> is a division of Charles Schwab & Co., Inc. and provides back-office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and, except in the case of an affiliated company that may act as the investment advisor for the Sweep Funds, Windhaven Investment Management, Inc. ("Windhaven®"), ThomasPartners, Inc. ("ThomasPartner"), Charles Schwab Investment Advisory, Inc. is not affiliated with your Investment Advisor, whose name appears on this report ("Advisors"). Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisors' commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

